



**EASTWOOD SECURITIES**

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**MORTGAGE FUND  
APPLICATION FORM**

1 March 2017 / ARSN 146 451 792

Eastwood Securities Pty Ltd ACN 143 030 540

# HOW TO COMPLETE AN APPLICATION FORM

Please complete all relevant sections of the Application Form using **BLOCK LETTERS**.

## SECTION 1

### INVESTOR DETAILS:

Enter the full name(s) and title(s) of all legal entities that are to be recorded as the registered Member(s). Up to 2 joint applicants may register.

Existing Members whose personal details remain unchanged need only complete their name and the amount of the additional investment they wish to make in the Fund. However, existing Members who wish to invest in a different legal capacity must complete the appropriate sections of the Application Form in full and provide the Fund Manager with the identification documentation required in relation to the new capacity in which they now wish to invest.

### APPLICATIONS BY NEW INVESTORS:

In accordance with the anti-money laundering and counter-terrorism financing (AML/CTF) regulatory requirements, you must provide the Fund Manager with supporting documentation/identification along with your completed Application Form if you are a new investor in the Fund.

### TAX FILE NUMBER (TFN):

Enter the Tax File Numbers (“TFN”) of the Applicants. Where applicable please enter the TFN for each joint Applicant. Collection of the TFNs is authorised by taxation laws.

It is not compulsory to provide your TFN, however, if you do not provide your TFN, tax will be deducted at the top marginal rate plus the Medicare Levy. If you have a TFN exemption, please provide exemption details.

## SECTION 2

### CONTACT DETAILS:

Please enter your contact details for all correspondence. All communications to you from the Fund Manager will be mailed to the person(s) and address as shown. For joint applicants, only one address can be entered.

## SECTION 3

### INVESTMENT DETAILS:

Please provide details of your preferred investment amount at this time. The minimum investment will be disclosed in the SPDS specific to a particular Conforming Mortgage Investment.

### HOW THE INVESTMENT AMOUNT IS TO BE PAID:

Remit funds directly by ELECTRONIC FUNDS TRANSFER (EFT). In this instance you must firstly email the completed Application Form to the Fund Manager at [investments@eastwoodsecurities.com.au](mailto:investments@eastwoodsecurities.com.au).

On receipt of your completed application, Eastwood will forward your reference number. Once you have your reference number, you can proceed to EFT your investment money quoting your reference number.

## SECTION 4

### DISTRIBUTIONS:

Complete this section for payment of your distributions. All income distributions will be paid directly into a nominated bank, credit union or building society account. Applications that do not contain bank account details for distributions may be rejected by the Fund Manager.

## SECTION 5

### APPLICATION DECLARATION AND SIGNATURE:

Each Applicant must read the declaration and sign the form. If your investment is to be made in joint names, all Applicants must sign in the appropriate sections. If you are signing as an attorney a certified copy of the power of attorney must accompany this form.

To invest in the Fund, please ensure you have completed the checklist below:

- complete all relevant details on the Application Form including section 4 Distributions;
- sign and date the Application Form, attach requisite identification documentation; and
- email or send the completed Application Form to:

investments@eastwoodsecurities.com.au

**Eastwood Securities Pty Ltd**

Investment Liaison Officer

Suite 5, 1 Markey Street

Eastwood SA 5063

Note that only legal entities are allowed to participate in the Fund and invest in Conforming Mortgage Investments. Applications must be in the name of a natural person or natural persons, company or any other legal entity.

## SECTION 6

### POWER OF ATTORNEY:

Each Applicant grants the Fund Manager a power of attorney to complete any security and other document in relation to the registration, discharge, and enforcement of a mortgage on their behalf.

## SECTION 7

### SIGNATURES REQUIRED:

Please provide each of the signatures required to enable receipt of your investment application.

# EASTWOOD SECURITIES MORTGAGE FUND APPLICATION FORM

## SECTION 1. INVESTOR DETAILS:

INDIVIDUAL/JOINT INVESTORS			
Surname		First Name(s)	
Title		Date of Birth	
Tax File Number (TFN)			
Surname		First Name(s)	
Title		Date of Birth	
Tax File Number (TFN)			
COMPANY INVESTORS			
Company Name		Australian Company Number (ACN)	
Director Surname		First Name(s)	
Title		Date of Birth	
Director/Secretary Surname		First Name(s)	
Title		Date of Birth	
SUPERANNUATION FUND/TRUST INVESTORS			
Fund/Trust Name		Tax File Number (TFN)	
Individual Trustee(s)	Complete "Individual/Joint Investors" section in addition		
Company Trustee	Complete "Company Investors" section in addition		
MINOR INVESTORS (person under the age of 18)			
Adult (Trustee) Surname		First Name(s)	
Title		Date of Birth	
Tax File Number (TFN)			
Minor (Beneficiary) Surname		First Name(s)	
		Date of Birth	
DECEASED ESTATE INVESTORS			
Estate Name		Tax File Number (TFN)	
Executor Surname		First Name(s)	
Title		Date of Birth	
Executor Surname		First Name(s)	
Title		Date of Birth	
PARTNERSHIP INVESTORS			
Partnership Name			
Partner Surname		First Name(s)	
Title		Date of Birth	
Tax File Number (TFN)			
Partner Surname		First Name(s)	
Title		Date of Birth	
Tax File Number (TFN)			

## NEW INVESTORS ONLY

If you are an existing Member of the Fund then you do not need to provide proof of identification.

Please proceed to Section 2 of this Application Form.

If you are a **NEW** Member, then we must identify you in compliance with AML/CTF requirements. Based on the type of investor you are, please select the proof of identification below and ATTACH the documents you select to this Application Form:

<p><b>*Individuals Note:</b> If this document is in a language other than English, please provide a translation by an accredited translator.</p>	<p>Certified copy of ONE of the following:</p> <ul style="list-style-type: none"><li>• Passport*</li><li>• Birth Certificate*</li><li>• Citizenship Certificate*</li></ul> <p><b>AND</b></p> <p>Certified copy of ONE of the following:</p> <ul style="list-style-type: none"><li>• Drivers Licence*</li><li>• Commonwealth / State / Territory notice</li><li>• ATO notice, Council rates notice or utilities notice</li><li>• Pension Card</li></ul>	
<p><b>Companies</b></p>	<p>Provide the following:</p>	<p><input type="checkbox"/> ASIC Company Search</p>
<p><b>Registered Managed Investment Schemes</b></p>	<p>Provide the following:</p>	<p><input type="checkbox"/> ASIC Company Search</p>
<p><b>Wholesale Managed Investment Schemes</b></p>	<p>The following declaration must be completed by an Applicant who is an unregistered managed investment scheme with Wholesale Clients (only):</p> <p><input type="checkbox"/> We confirm we are an unregistered managed investment scheme with wholesale clients ONLY and we do not make small scale offerings under section 1012E of the Companies Act.</p>	
<p><b>Other Trusts</b></p>	<p>Provide ALL of the documents listed below and attach them to this Application Form:</p> <p><input type="checkbox"/> a certified copy or certified extract of the trust deed;</p> <p><input type="checkbox"/> document listing each beneficiary or the details of each class of beneficiary,</p> <p><b>AND</b></p> <p><input type="checkbox"/> in relation to the trustee, select the appropriate item:</p> <ul style="list-style-type: none"><li>- if the trustee is an individual, provide verification material for individuals set out above; OR</li><li>- if the trustee is a company, provide verification material for the type of company set out above.</li></ul>	
<p><b>List of Acceptable Persons for Certification</b></p>	<ul style="list-style-type: none"><li>• a person who, under a law in force in a State or Territory, is currently licensed or registered to practise in the following occupations (as listed in Part 1 of Schedule 2 of the Statutory Declarations Regulations 1993): Dentist, Legal practitioner, Medical Practitioner, Nurse, Pharmacist,</li><li>• Member of the Institute of Chartered Accountants in Australia, the Australian Society of Certified Practising Accountants or the National Institute of Accountants</li><li>• a person who is enrolled on the roll of the Supreme Court of a State or Territory, or the High Court of Australia, as a legal practitioner (however described);</li></ul>	

<b>List of Acceptable Persons for Certification (Continued)</b>	<ul style="list-style-type: none"> <li>• an officer with, or authorised representative of, a holder of an Australian financial services licence, having 2 or more years of continuous service with one or more licensees;</li> <li>• an officer with, or a credit representative of, a holder of an Australian credit licence, having 2 or more years of continuous service with one or more licensees</li> <li>• a person authorised as a notary public in a foreign country.</li> </ul>
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## SECTION 2.

### CONTACT DETAILS:

<b>Residential or Company Registered Address</b>			
<b>Street Name / PO Box</b>			
<b>Suburb / Town</b>		<b>State</b>	
<b>Postcode</b>		<b>Country</b>	
<b>Work Phone</b>	( )	<b>Mobile</b>	( )
<b>Home Phone</b>	( )	<b>Fax</b>	( )
<b>Email Address</b>			
<b>If you are an overseas investor, what is your country of residence for tax purposes?</b>			

## SECTION 3.

### INVESTMENT DETAILS:

<b>Please indicate the amount you wish to invest in the Fund:</b>	\$	
<b>Payment Options:</b> Note, your payment will not be processed until completion of the specific Application Form attached to an SPDS. EFT (See details on how to EFT your investment in Section 7.8 of the PDS.) Make your payment to <b>“Sandhurst Trustees Limited ACF Eastwood Applications Account”</b> <b>BSB: 083-376 Acct No: 18-164-5210</b>		
<b>Preferred Mortgage Term:</b>		
<input type="checkbox"/> 1-6 months	<input type="checkbox"/> 6-12 months	<input type="checkbox"/> 12 months or more
<b>Preferred Mortgage Security:</b>		
<input type="checkbox"/> Residential Security	<input type="checkbox"/> Established	<input type="checkbox"/> Vacant Land
<input type="checkbox"/> Regional / Rural Security	<input type="checkbox"/> Established	<input type="checkbox"/> Vacant Land
<input type="checkbox"/> Commercial / Industrial Security	<input type="checkbox"/> Established	<input type="checkbox"/> Vacant Land
<p>The Eastwood Securities Mortgage Fund (“Fund”) Product Disclosure Statement provides information about participating in the Fund and investing in Conforming Mortgage Investments. The current version of the PDS can be found on the Eastwood Securities website at <a href="http://www.eastwoodsecurities.com.au">www.eastwoodsecurities.com.au</a>. Any person who gives another person access to this Application Form must also give the person access to the current Eastwood Securities Mortgage Fund PDS and any supplementary documents. You should read the PDS before completing this Application Form. The Fund Manager who has provided an electronic copy of the PDS will send you a paper copy of the PDS and any supplementary document and Application Form free of charge if you so request during the period of the offer specified in the PDS.</p>		

## SECTION 4.

### DISTRIBUTION DETAILS:

Please nominate your account for receipt of income entitlements			
Financial Institution		Branch	
Account Name			
BSB		Account Number	

## SECTION 5.

### APPLICATION DECLARATION:

I/we declare that:

- all details in this Application Form are true and correct;
- I/we have received a copy of the current PDS to which this Application Form applies and have read and understand it and agreed to the offer contained in it and to be bound by the provisions of the Constitution;
- I/we have legal power to invest in accordance with this Application Form;
- (in the case of joint applications) we agree that unless expressly indicated in this Application Form, our interests will be held as joint tenants and either investor is able to operate the investment's account and bind the other investor for future transactions, including additional deposits and withdrawals, in respect of this investment;
- (if this Application Form is signed under power of attorney) I/we as attorney declare that I/ we have not received notice of revocation of that power (and a certified copy of the relevant power of attorney should be submitted with this Application Form unless the Fund Manager has already sighted it);
- (if this Application Form is signed by a sole signatory on behalf of a company), I confirm that I am signing as sole director and sole secretary of the investing company
- (if investing as trustee of a superannuation fund or trust) I/we confirm that I/we am/are acting in accordance with my/our designated powers and authority under the trust deed of the relevant superannuation fund or trust, and in the case of superannuation funds, I/we also confirm that such fund is a complying fund under the Superannuation Industry (Supervision) Act 1993;
- I/we:
  - acknowledge that I/we have read that section of the PDS headed "Privacy and Personal Information"
  - am/are aware that unless and until I/we inform the Fund Manager otherwise, I/we will be taken to have consented to all the uses of my/our personal information (including marketing) mentioned in that section; and
  - understand that if I/we fail to provide any information required by this Application Form or do not agree to any of the possible uses detailed above, my/our Application for investment may not be accepted;
- I/we acknowledge that investments in the Fund are subject to investment risk, including possible delays in repayment and loss of income or capital invested, and are not deposits with, or liabilities of, the Fund Manager or the Trustee; and
- I/we acknowledge that none of Eastwood Securities Pty Ltd, Melbourne Securities Corporation Limited or their related entities guarantees the performance of the Fund or the repayment of capital or any particular rate of return or any distribution.

## SECTION 6.

### POWER OF ATTORNEY:

By a person completing:

- this Application Form, having read the current PDS for the Eastwood Securities Mortgage Fund; and
- the Application Form attached to the relevant SPDS for your investment, that person appoints the Fund Manager and each of its officers as their respective attorneys in accordance with clause 19 of the Constitution (“Attorney”), amongst other things to:
- execute a mortgage, variation or discharge and, in the event of a default, a contract of sale and transfer of the mortgage security;
- take possession of any mortgage security and exercise the mortgagee power of sale;
- recover all outstanding interest or principal secured by the mortgage; and
- do all things that are necessary to give effect to any document considered to be in the interests of the investor on the terms and conditions contained in this PDS and/or the relevant SPDS.

Each Attorney may at any time, complete the schedules to the loan agreement and any security document identified in an SPDS and:

- sign any instrument (including the mortgage, loan agreement, or mortgage debenture) on behalf of;
- do any act on behalf of; and
- use the name of,

the investor to give effect to any document relating to the registration, discharge and enforcement of the mortgage or loan agreement.

An Attorney may delegate its powers (including the power to delegate) to any person for any period and may revoke the delegation.

This power of attorney is granted for valuable consideration (receipt of which is acknowledged) which includes the Fund Manager presenting this direct mortgage investment opportunity the investor.

## SECTION 7.

### SIGNATURES REQUIRED FOR INVESTMENT APPLICATIONS AND REDEMPTIONS

<input type="checkbox"/> Single	<input type="checkbox"/> Joint - One to Sign	<input type="checkbox"/> Joint - Both to Sign	<input type="checkbox"/> Other Signature Required
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#### INVESTOR 1 SIGNATURE

Please sign:		Date	/	/
Individual / Director / Trustee / Executor / Partner				
Print Name:				

#### INVESTOR 2 SIGNATURE

Please sign:		Date	/	/
Individual / Director / Trustee / Executor / Partner				
Print Name:				



## INVESTOR 3 SIGNATURE

Please sign:		Date	/	/
Individual / Director / Trustee / Executor / Partner				
Print Name:				

### PLEASE NOTE:

If signing under a power of attorney you must provide the appropriate identification and a certified copy of the power of attorney and verify that at the time of signing you have not received notice of revocation of that power.

### IMPORTANT NOTICE:

It is important that you have read the current PDS before applying to invest in the Fund. This application must not be handed to any person unless provided with access to the current PDS. Eastwood Securities Pty Ltd and/or Melbourne Securities Corporation Limited may in their absolute discretion refuse any application.

Persons external to Eastwood Securities Pty Ltd and Melbourne Securities Corporation Limited or other entities who market the Fund are not agents of either Eastwood Securities Pty Ltd or Melbourne Securities Corporation Limited but are independent investment advisors. Eastwood Securities Pty Ltd and Melbourne Securities Corporation Limited will not be bound by representatives or statements which are not contained in information disseminated by them. This Fund is administered by Melbourne Securities Corporation Limited (AFSL 428289).